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**TRANSMITTAL  
FORM**(To be used for all correspondence  
after initial filing)

Application Number	10/542,936
Filing Date	July 19, 2005
First Named Inventor	Byoung-Joo Gwag
Art Unit	1614
Examiner Name	
Attorney Docket No.	110200.404USPC

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement and Transmittal <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input checked="" type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Other Enclosure(s) ( <i>please identify below</i> ): <u>Copy of Assignment</u> _____ _____ _____
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Remarks**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Seed Intellectual Property Law Group PLLC	Customer Number	00500
Signature			
Printed Name	Richard G. Sharkey, Ph.D.		
Date	May 30, 2006	Reg. No.	32,629

**VIA EXPRESS MAIL**

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

MillicentS/788541

**STATEMENT UNDER 37 CFR 3.73(b)**Applicants/Patent Owners: Byoung-Joo Gwag et al.Application No./Patent No.: 10/542,936 Filed/Issue Date: July 19, 2005Entitled: METHOD FOR INHIBITION OF NECROSIS INDUCED BY NEUROTROPHINNeurotech Pharmaceuticals Co. Ltd.

(Name of Assignee)

a

corporation(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the  
current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the  
original owner to the assignee was, or concurrently is being, submitted for recordation  
pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment  
Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See  
MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Byoung Joo Gwag  
Signature

Byoung-Joo Gwag  
Typed or printed name

May 22, 2006  
Date

President  
Title

JOINT

## ASSIGNMENT

WHEREAS, WE, GWAG, Byoung Joo; YOON, Sung-Hwa; KIM, Sun-Hee; WON, Seok-Joon; ASSIGNORS, citizens of Republic of Korea, residing at #729-1001 Hyundai Apt., Salgugol, Yeongtong-dong, Paldal-gu, Suwon-si, Gyeonggi-do 442-736; #321-602 Samik Apt., 957-6 Yeongtong-dong, Paldal-gu, Suwon-si, Gyeonggi-do 442-810; #3-1303 Sunkyung 1-cha Apt., Ingye-dong, Paldal-gu, Suwon-si Gyeonggi-do 442-070; #3-1303 Sunkyung 1-cha Apt., Ingye-dong, Paldal-gu, Suwon-si, Gyeonggi-do 442-070 are the inventors of the invention in

## METHOD FOR INHIBITION OF NECROSIS INDUCED BY NEUROTROPHIN

for which we have executed an application for a Patent of the United States

- ☒ which is executed on ☐ even date herewith or  
☐ which is identified by Jones Day docket no.  
☒ which was filed on January 20, 2004, Application No. PCT/KR2004/000119  
☐ We hereby authorize and request our attorney, , of Jones Day, , to insert here in parentheses (Application number, \_\_\_\_\_ filed \_\_\_\_\_) the filing date and application number of said application when known.

and WHEREAS, NEUROTCH PHARMACEUTICALS CO., LTD., a corporation organized and existing under the laws of Republic of Korea, and having its principal offices 29-35, Woncheon-dong, Yeongtong-gu, Suwon-si, Gyeonggi-do 443-821 Republic of Korea, ASSIGNEE

is desirous of obtaining my entire right, title and interest in, to and under the said invention and the said application:


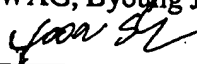
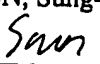
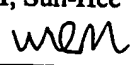
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEES, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEES, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEES, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEES, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date <u>July 8</u> , 2005	 _____ GWAG, Byoung Joo	L.S.
Date <u>July 8</u> , 2005	 _____ YOON, Sung-Hwa	L.S.
Date <u>July 8</u> , 2005	 _____ KIM, Sun-Hee	L.S.
Date <u>July 8</u> , 2005	 _____ WON, Seok-Joon	L.S.